

**A2 Surrender form**

**Application to surrender a permit**

Local Authority - Integrated Pollution Prevention and Control  
Pollution Prevention and Control Act, 1999  
Pollution Prevention and Control (England and Wales) Regulations 2000 (as amended)

**Introduction**

**When to use this form**

This regime is known as Local Authority Integrated Pollution Prevention and Control, **LA-IPPC**. Installations permitted under this regime are known as **A2** installations. Use this form if you already have a permit and have ceased to operate, or intend to cease to operate your installation and wish to surrender the permit. You may apply to surrender the whole or part of your permit.

**Before you start to fill in this form**

Please read the Defra general guidance manual issued for LA-IPPC and LAPPC. This contains a list of other documents you may need to refer to when you are preparing your application for surrender of your permit, and explains some of the technical terms used. You will also need to read the relevant sector guidance note, BREF note or Process Guidance note as relevant. The Pollution Prevention and Control (England and Wales) Regulations 2000 can be obtained from The Stationary Office, or viewed on their website at: [www.opsi.gov.uk/si/si2000/20001973.htm](http://www.opsi.gov.uk/si/si2000/20001973.htm)

**Which parts of the form to fill in**

You should fill in as much of this form as possible. The appropriate fee must be enclosed with the application for surrender enable it to be processed further. When complete return to:

*Pollution Control, Thurrock Council, Civic Offices, New Road, Grays, Essex, RM17 6SL*

**Other documents you may need to submit**

*There are number of other documents you may need to send us with your application for surrender. Each time a request for a document is made in the form you will need to record a document reference number for the document or documents that you are submitting in the space provided on the form for this purpose. Please also mark the document(s) clearly with your permit reference number and the name of the installation.*

**Using continuation sheets**

In the case of the questions on the form itself, please use a continuation sheet if you need extra space; but please indicate clearly on the form that you have done so by stating a document reference number for that continuation sheet. Please also mark the continuation sheet itself clearly with the information referred to above.

**Copies**

You do not need to send multiple copies of this form, consultation is not required to transfer a permit.

**If you need help and advice**

We have made the form as straightforward as possible, but please get in touch with us at the local authority address given above if you need any advice on how to set out the information we need.

**A1.1 Name of the installation**

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**A1.2 Please give the address of the site of the installation**

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Postcode

Telephone

**A1.3 Permit reference number**

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**A2.1 The Operator – Please provide the full name of company or corporate body**

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Trading/business name (if different)

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Registered Office address

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Postcode:

Principal Office address (if different)

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Postcode:

Company registration number

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**A3.1 Who can we contact about your application to surrender your permit?**

*It will help us to have someone who we can contact directly with any questions about your application. The person you name should have the authority to act on behalf of the operator. This could be an agent or consultant rather than the operator.*

Name

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Position \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Postcode

\_\_\_\_\_

Telephone number \_\_\_\_\_

Fax number \_\_\_\_\_

E. Mail address \_\_\_\_\_

<b>LA-IPPC Surrender Application Form: to be completed by the operator</b>		
For Local Authority use		
Installation Reference:	Officer Reference:	Date received:

**B1 Do you wish to surrender your permit in whole or in part ?**

Surrender whole permit

Partial surrender

In the case of partial surrender only please fill in the table below with details of which parts of the installation are to remain in operation and which part will cease have ceased to operate, the part of the installation will be known as 'the surrender unit'.

**In Column 1a *Activities in the stationary technical unit which are to remain in operation.***

Please identify all activities listed in Schedule 1 to the PPC Regulations that are, or are proposed, to remain in operation in the stationary technical unit of the installation.

**In Column 1b *Directly associated activities which are to remain in operation***

Please identify any directly associated activities that are, or are proposed, to remain in operation on the same site which:

- \* have a technical connection with the activities in the stationary technical unit
- \* could have an effect on pollution

**In Column 1a (i) *Activities in the stationary technical unit which are to, or have, ceased operation.***

Please identify all activities listed in Schedule 1 to the PPC Regulations that are, or are proposed, to cease operation in the stationary technical unit of the installation.

**In Column 1b (i) *Directly associated activities which are to, or have, ceased operation***

Please identify any directly associated activities that are, or are proposed, to cease operation on the same site which:

- \* have a technical connection with the activities in the stationary technical unit
- \* could have an effect on pollution

In **column 2a and b Schedule 1 references**, please quote the Chapter number, Section number, A(2) or B, then paragraph and sub-paragraph number as shown in Part 1 of Schedule1 to the PPC Regulations. For example, *Manufacturing glass, unless falling within Part A(1) of this Section, where melting capacity of the plant is more than 20 tonnes per day*, would be listed as Chapter 3, Section 3.3, Part A(2)(a).

**B1.1 Installation table for partial surrender of permit**

COLUMN 1a	COLUMN 2a
<b>Activities to remain in operation in the Stationary Technical Unit (S.T.U.)</b>	<b>Schedule 1 References</b>

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<b>COLUMN 1b</b>	<b>COLUMN 2b</b>
<b>Directly associated activities to remain in operation in the (S.T.U.)</b>	<b>Schedule 1 References</b>
<b>COLUMN 1a(i)</b>	<b>COLUMN 2</b>
<b>Activities to cease operation in the S.T.U.</b>	<b>Schedule 1 References</b>
<b>COLUMN 1b(i)</b>	<b>COLUMN 2</b>
<b>Directly associated activities to cease operation in the S.T.U.</b>	<b>Schedule 1 References</b>

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### B.1.3 Site Maps

You do not need to provide a site map in case of an application to surrender the whole of your permit.

In the case of partial surrender of your permit only please provide:-

\* A suitable plan or map showing the activities on the site which are to cease operation (the surrender unit) and the activities to remain in operation within the extent of the installation.

Doc Reference \_\_\_\_\_

## B2 The Installation

**B2.1** Please provide a site report describing the condition of ‘the surrender unit’, identifying any changes in the condition of the site as described in the site report submitted with the original application. Please describe any steps which have been taken to avoid any pollution risk on the report site resulting from the operation of the installation to return it to a satisfactory state.

Doc Reference: \_\_\_\_\_

**B2.2** In the case of partial surrender only you may indicate whether you feel certain conditions will require a variation in wording following the cease of operation of ‘the surrender unit’. Please detail the exact conditions you wish to change in your current permit.

Permit condition reference	Proposed new wording of condition

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**B2.3 Additional information**

Please supply any additional information which you would like us to take account of in considering this application for surrender.

*Doc Reference* \_\_\_\_\_

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## **C1 Fees and Charges**

Details of how to calculate the fee for an application to surrender a permit can be found at: [www.defra.gov.uk/environment/airquality/lapc/charges/](http://www.defra.gov.uk/environment/airquality/lapc/charges/)  
Your application cannot be processed unless the correct fee is enclosed.

### **C1.1 Please state the amount enclosed as a fee for this application.**

#### **For the local authority**

£ \_\_\_\_\_ . Cheques should be made payable to :  
\_\_\_\_\_

#### **For the Environment Agency**

£ \_\_\_\_\_ . Cheques should be made payable to :  
\_\_\_\_\_

We will confirm receipt of this fee when we write to you acknowledging your surrender application.

### **C1.2 Please give any company purchase order number or other reference you wish to be used in relation to this fee.**

\_\_\_\_\_

## **C3 Commercial confidentiality**

**C3.1** Is there any information in this application to surrender, or partially surrender your permit, that you wish to justify being kept from the public register on the grounds of commercial confidentiality ?

**No**

**Yes**

Please provide full justification, considering the definition of commercial confidentiality within the PPC regulations.

Doc Reference \_\_\_\_\_

**C3.2** Is there any information in the application to surrender, or partially surrender your permit, that you believe should be kept from the public register on the grounds of national security ?

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No

Yes

Do not write anything about this information on the form. Please provide full details on separate sheets, plus provide a copy of the surrender application form to the Secretary of State for a Direction on the issue of National Security.

#### C4 Data Protection

The information you give will be used by the Local Authority to determine your application to surrender, or partially surrender your permit. It will be placed on the relevant public register. We may also use and or disclose any of the information you give us in order to:

- consult with the public, public bodies and other organisations,
- carry out statistical analysis, research and development on environmental issues,
- provide public register information to enquirers,
- investigate possible breaches of environmental law and take any resulting action,
- prevent breaches of environmental law,
- assess customer service satisfaction and improve our service.

We may pass on the information to agents/ representatives who we ask to do any of these things on our behalf.

It is an offence under Regulation 32 of the PPC regulations, for the purpose of obtaining the surrender, or partial surrender of a permit (for yourself or anyone else) to:

- make a false statement which you know to be false or misleading in a material particular,
- recklessly make a statement which is false or misleading in a material particular.

If you make a false statement

- we may prosecute you, and
- if you are convicted, you are liable to a fine or imprisonment (or both).

#### C5 Declaration

##### C5.1 Signature of current operator(s)\*

<b>LA-IPPC Surrender Application Form: to be completed by the operator</b>		
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Installation Reference:	Officer Reference:	Date received:

I / We certify that the information in this surrender application is correct. I / We apply for a permit in respect of the particulars described in this surrender application (including supporting documentation) I / We have supplied.

Please note that each individual operator must sign the declaration themselves, even if an agent is acting on their behalf.

For the surrender application from:

Installation name: \_\_\_\_\_  
\_\_\_\_\_

Signature \_\_\_\_\_  
\_\_\_\_\_

Name \_\_\_\_\_  
\_\_\_\_\_

Position \_\_\_\_\_  
\_\_\_\_\_

Date \_\_\_\_\_  
\_\_\_\_\_

Signature \_\_\_\_\_  
\_\_\_\_\_

Name \_\_\_\_\_  
\_\_\_\_\_

Position \_\_\_\_\_  
\_\_\_\_\_

Date \_\_\_\_\_  
\_\_\_\_\_

\* Where more than one person is defined as the operator, all should sign. Where a company or other body corporate – an authorised person should sign and provide evidence of authority from the board of the company or body corporate.