Local self-assessment for adults with autism - Spreadsheet v5

This is a framework to help support Local Authorities and NHS organisations and their partners to implement the statutory guidance'Implementing 'Fulfilling and rewarding lives' in their localities. The self -assessment is primarily for commissioners as a template to begin their planning to respond to the statutory guidance. It is not intended to be used by people with autism or their carers, but it is expected that they would be part of any local self-assessment planning processes. In addition to this, it is important to be aware of the consultation currently taking place on the future of the NHS following the NHS White Paper. In order to prepare for these changes it will be important for localities to bear in mind the changes planned and engage with:

- people with autism and their carers
- GPs and GP consortia as they develop
- NHS providers
- Social care providers: independent and voluntary sector and social enterprises

The focus of implementation will be at local level. DH Deputy Regional Directors (DRDs) will work with a range of regional and local partners, including Association of Directors of Social Services, the Strategic Health Authority and wider NHS and social care sector to develop a Regional Action Plan. This plan will help to facilitate peer support and sharing of good practice to speed up implementation of the National Autism Strategy. Local leads are asked to identify any areas of good practice that can be shared or where peer support or collaborative approaches at a sub-national level could support local implementation. Some regional partnerships may also have capacity to put in place additional mechanisms to collate information from the local self-assessments.

Instructions:

There is one spreadsheet page for each of the sections in the Self Assessment. Sections have rating questions (the boxes with bold lines around them) and comment questions.

Rating questions are answered 'Red', 'Amber' or 'Green'. These may either be typed in or selected from a drop down menu in the rating boxes.

Comments are entered in the comment boxes. Be sure to DOUBLE CLICK your mouse in each box before entering your notes. Notes can then either be typed in or pasted from another document. IF YOU ONLY SINGLE CLICK IN THESE BOXES THE FORMATTING CANNOT BE EFFECTIVELY PROTECTED AND YOU MAY BLOCK THE SPREADSHEET. Comments are limited to 1000 characters in most cases, but 2000 characters for the final questions.

Click on the links below to go to the sections. In each section you have an option to click on links to the Next Section or the Instruction Page. The Instruction Page link will bring you back here. **REMEMBER TO SAVE YOUR WORK AFTER EACH SECTION.** The easiest way to do this is to press the Control and S keys together.

When you have completed the exercise **SAVE YOUR WORK AGAIN**. Then you can print out the results for your own use using the normal EXCEL print command. Remember to tell EXCEL to print ENTIRE WORKSHEET. You should email the whole spreadsheet to the Improving Health and Lives: Learning Disabilities Observatory at AutismSAF@ihal.org.uk.

Any updated instructions will be placed at www.ihal.org.uk/AutismSAF. Questions can also be forwarded to the email address.

The check numbers below show only how many of the questions have had entries made. The questions on the Optional Numbers page are optional, but they use items suggested as for local review in the published Framework.

Sections	Ratings	Comments	Optional (
Select local authority		Entered	
Additional questions page			1/1
Quality outcome 1. Adults with autism (including Asperger syndrome) achieve better health outcomes.	3/3	8/9	
Quality outcome 2. Adults with autism (including Asperger syndrome) are included and economically active.	7/7	19/21	

Quality outcome 3. Adults with autism (including Asperger syndrome) are living in accommodation that meets			
their needs.	3/4	10/12	
Quality outcome 4. Adults with autism (including Asperger syndrome) are benefiting from the personalisation			
agenda in health and social care, and can access personal budgets.	3/4	7/12	
Quality outcome 5. Adults with autism (including Asperger syndrome) are no longer managed inappropriately in			
the criminal justice system.	1/2	3/6	
Quality outcome 6. Adults with autism (including Asperger syndrome), their families and carers are satisfied with			
local services.	1/1	3/3	
Quality outcome 7. Adults with autism (including Asperger syndrome) are involved in service planning.	3/3	7/9	
Service ambition 1. Local authorities and partners know how many adults with autism (including Asperger			
syndrome) live in the area.	3/4	8/12	
Service ambition 2. A clear and trusted diagnostic pathway is available locally.	4/5	9/15	
Service ambition 3. Health and social care staff make reasonable adjustments to services to meet the needs of			
adults with autism (including Asperger syndrome).	4/4	11/12	
Final questions.		2/2	

Local self-assessment for adults with autism	
Enter local authority name	
Select name from the list in the box below	
Thurrock	
Return to instruction page	
Next section	

Local self-assessment for adults with autism	
Additional questions section	Questions answered: 1/1
There is a small number of additional questions to be answered. Please read the questions below. You will first be asked if you are in a position to use or separate-out data available to you to answer some or all of questions 4 to 12. If you answer 'YES' to this, then answer the blue questions (3 to 12). If not then go to question 2 (green) and answer it to complete your input to this section.	Instructions: Enter answers in this column.
Question	Enter Answers here
1. Are you in a position to use or separate-out data available to you to answer some or all of questions 3 to 13 below?	No
2. Has a new or re-established Diagnostic Pathway been developed in the last 12 months	No
3. How and where is this data recorded?	
Please now answer as many of the remaining questions as you can.	
4. What is the NUMBER of people (of any age) who have been assessed in the past 12 months using the diagnostic pathway.	
5. What is the NUMBER of adults (aged 18 and over) with autism (including Asperger syndrome) currently known to the local authority?	46

6. What is the NUMBER of these in PAID WORK (include both full time and part time work)?	
7. What is the NUMBER of these living in their OWN HOME or WITH THEIR FAMILY?	17
What are the NUMBERS of these living in RESIDENTIAL OR NURSING CARE ESTABLISHMENTS:	
8. WITHIN the Local Authority Area?	1
9. OUTSIDE the Local Authority Area?	21
10. Total living in RESIDENTIAL OR NURSING CARE ESTABLISHMENTS?	
11. What is the NUMBER of adults (aged 18 and over) with autism (including Asperger syndrome) who currently (at 1st January 2012) receive support from the Local Authority in the form of a PERSONAL BUDGET?	
12. What is the NUMBER of adults (aged 18 and over) with autism (including Asperger syndrome) who currently (at 1st January 2012) receive care from SPECIALIST MENTAL HEALTH SERVICES?	

Local se	elf-assessment for adults v	vith autism		
	Quality Outcome 1		Ratings: 3	8/3 Comments: 8/9
Adults with autis	m achieve better health	In this box enter your overall rating for this area	Instructions: In column 4 select Red/Amber/Green from d down. In columns 2, 3 and 5, click on cell a	
		Amber	enter text	. Maximum length 1000 characters.
(1) Key Outcomes. Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	(5) One thing we want to be better in 12 months (Key priority)
Under the proposed NHS outcomes framework NHS bodies will need to gather a range of information including data on health inequalities, morbidity rates and recovery from ill-health/injury. Has your area considered	Currently South Essex PCT do not collect data through QOF on ASC, however we do collect Learning Disability data and as part of the authorisation for annual health checks, the GP will provide details of the disability which included, where relevant ASC's.	Ensure ASC is collected under QOF registers and co-morbidities are highlighted	Red	Carry out audit of ASC against other physical health needs.
Have you in place or do you plan to develop a commissioning plan around services for adults with autism?	Currently implementation of the in-patient review results means that new teams have been established across South Essex to provide a range of LD health services including areas that would support autism. We are working with Essex and Southend Council on developing an Essex wide strategic framework for autism following which local commissioning plans will be produced.	The new teams need to be established and the impact of their work on the support of individuals with autism monitored. A significant gap is support for people with Aspergers.	Amber	Raise the profile of autism in Thurrock ensuring that specialist and universal services have a better understanding of autism

Are your LAs and NHS commissioners of long-term conditions reviewing adjustments to service delivery?	reasonable adjustments under The Equality Act	Ensure clauses are included in all contracts Expand to include individuals with Autism across the spectrum	Amber	
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Local se	If-assessment for adu	Its with autism			
Quality Outcome 2	Quality Outcome 2 Ratings: 7/7 Comments: 19/21				
Adults with autis economically act	m are included and ive.	In this box enter your overall rating for this area	Instructions: In column 4 select Red/Amber/Green frond drop-down. In columns 2, 3 and 5, click or and enter text. Maximum length 1000 characters.		
(1)	(2)	Amber (2)	(4)		
(1) Key Outcomes. Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	(5) One thing we want to be better in 12 months (Key priority)	
Is demand for your care services changing?	More people are taking up personal budgets/direct payments. We have moved from a traditional day service for people with a learning disability towards more personalised day opportunities within the local community	There needs to be an emphasis on providing more community based accommodation moving away from the traditional residential care model We need to ensure that the right service models are in place to support people on the autistic spectrum including a range of local accommodation and support. The Alder Team recently visited Thurrock and we will be actioning the recommendations from their findings	Amber	Complete a mapping exercise on the delivery and usage of services for adults with autism throughout Thurrock.	

Are you planning to map usage of services?	More people are taking up personal budgets/direct payments. We have moved from a traditional day service for people with a learning disability towards more personalised day opportunities within the local community	There needs to be an emphasis on providing more community based accommodation moving away from the traditional residential care model We need to ensure that the right service models are in place to support people on the autistic spectrum including a range of local accommodation and support. The Alder Team recently visited Thurrock and we will be actioning the recommendations from their findings	Amber	Complete a mapping exercise on the delivery and usage of services for adults with autism throughout Thurrock.
Are you engaging with local employers to examine and increase employment levels for adults with autism?	Thurrock Council employ an employment lifestyle facilitator within day opportunity services who works with local employers and supports people within their work placements. Thurrock lifestyle Solutions CIC (TLS) and the World of Work Project (WOW) which is run through Thurrock Centre for Independent Living and commissioned by Thurrock Council are engaging with local employers to increase opportunities for people with autism. The work of both organisations includes engagement with employers to create a greater understanding of autism.	There needs to be continual awareness raising and engagement with a range of local employers. We need to expand the range of volunteering/paid employment opportunities.	Amber	To build up a knowledge bank of local employers in the statutory, voluntary and private sector that supports adults with autism

Have you mapped the local employment services that support adults with autism e.g. voluntary organisations, employment services funded by Local Authority or DWP?	Yes – Employment services in Thurrock are provided by Job Centre Plus. They have 3 Disability Employment Advisors and an employment psychologist which covers the region. An Employer Advisor works for the DWP locally. In House employment scheme, TLS, Batias Café 4 you and the WOW project all offer support into employment.	There needs to be continual engagement with potential employers to expand the current range of opportunities on offer. This needs to include some awareness raising around autism.	Amber	To build up a knowledge bank of local employers in the statutory, voluntary and private sector that supports adults with autism
Have you identified local employment services that support adults with autism, including Work Programme and Work Choice providers, Remploy and council-funded services?	TLS and WOW offer supported employment services. Thurrock Council has an in house employment lifestyle advisor and job centre plus use the Work Programme and Work Choice providers through the Shaw Trust.	More work to do.	Amber	To see an increase for people with autism finding voluntary//paid employment opportunities
Are local supported employment services familiar with the draft standards for supported employment?	Yes and they are fully engaged in this process	Range of employment opportunities needs increasing to offer choice.	Amber	To see an increase for people with autism finding voluntary//paid employment opportunities
Do transition processes to adult services have an employment focus?	Employment opportunities are included as part of the transition review. Representation from supported employment sits on our transition steering group and this is a regular agenda item		Amber	

Local self-assessment for adults with autism				
Quality Outcome 3 Ratings: 3/4 Comments: 10/12			8/4 Comments: 10/12	
Adults with autism accommodation that	are living in at meets their needs.	In this box enter your overall rating for this area	down. In co	Instructions: n 4 select Red/Amber/Green from drop- olumns 2, 3 and 5, click on cell and enter Maximum length 1000 characters.
(1) Key Outcomes. Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	(5) One thing we want to be better in 12 months (Key priority)
people with autism to see if	Thurrock Council plans on holding stakeholder events which will include the views of people with ASD on accommodation needs.	We need to gather a range of views from people right across the autistic spectrum at workshops in Spring 2012. Will use a range of different methods to gather views	Red	Need to establish regular forums for gathering peoples views. Continue to gain views from existing forums such as Disability Boards and Learning Disability Partnership Board.
term requirement of adults with autism?	widely consulted on but we note that there still needs to be more input in specific areas, one of those autism. Housing colleagues are engaged with us with implementation of the strategy to ensure these groups including autism are supported appropriately	areas of service development We will be implementing the findings from	Amber	To be clear about the level of need
Are people with autism involved in this?				

Does your commissioning	The South Essex wide strategy will cover the	Preparing the strategy has shown us there		By March 2013 increase the number of
plan cover the roles of the	issues highlighted. The delivery of this plan	needs to be significant improvement in		people supported into accommodation
community, voluntary and	is expected autumn 2012	supporting people with autism to live		
independent sector in		independently in supported accommodation	Amber	
delivering support services,				
including help with				
accommodation?				

Quality Outcome 4			Ratings: 3	Ratings: 3/4 Comments: 7/12	
Adults with autism are benefiting from the personalisation agenda in health and social care, and can access personal budgets.		In this box enter your overall rating for this area	Instructions: In column 4 select Red/Amber/Green from drop-down. In columns 2, 3 and 5, click on cell and enter text. Maximum length 1000 characters.		
(1) Key Outcomes. Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	(5) One thing we want to be better in 12 months (Key priority)	
Do you know how many people with autism there are in your area?	There are currently 30 people with autism in receipt of services and 17 people with Aspergers. 21 of these people live outside of Thurrock.	Thurrock Council's information system (IAS) did not have the facility until February 2012 to differentiate between LD and autism. That facility is now available and will give us a clearer picture. Further development work needs to be completed so that we have accurate figures of people falling within this specific group More accurate data will be available in 2012.	Amber	Increase the numbers of people receiving a direct payment/personal budget.	
Do you know how many adults are in receipt of a personal budget?	We do not currently collect this data centrally but anecdotally we have 2 people with Autism receiving a personal budget	More accurate data will be available in 2012.	Amber		
Are all assessments conducted in line with processes and principles adopted in the development of Working to Put People First: The Strategy for the Adult Social Care Workforce (England)	We have a workforce plan which was co- produced with our user led organisation. Also through the implementation of the personalisation agenda	More training on this to be rolled out	Amber		
Are you offering appropriate support following screening?					

Quality Outcome 5Ratings: 1/2 Comments: 3/6			/2 Comments: 3/6	
Adults with autism are no longer managed inappropriately in the criminal justice system.		In this box enter your overall rating for this area	In column In colum	Instructions: 4 select Red/Amber/Green from drop-down. ans 2, 3 and 5, click on cell and enter text. Maximum length 1000 characters.
(1) Key Outcomes. Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	months (Key priority)
Are you engaging the criminal justice system as a key partner in your planning for adults with autism?	4 Police officers from Grays attended autism awareness training 999 cards have been distributed to the People with autism in Thurrock and Police have been briefed on these cards There is a referral process in place for locality action groups to bring to gather adult social care and Police over concerns regarding people displaying anti-social behaviour Stay safes are being held for people with learning disabilities to promote safety awareness messages and to foster relationships with professionals The Police are well represented at the safeguarding adults board Safeguarding champion is attending Police IAG and has opportunity to raise profile of autism and action which could be taken by Police.	Is there are a training programme in place for Police and probation to raise awareness of autism Community protection team need to have an awareness of autism How many people in the criminal justice system in Thurrock are impacted by autism? is there any evidence of issues with regard to violence against women and autism?	Amber	To continue to work closely with Community Safety partnership to ensure that the needs of people with ASD are understood within the criminal justice system
Have you considered joint planning for community based interventions?				

Quality Outcome 6 Ratings: 1/1 Comments: 3/3			/1 Comments: 3/3	
Adults with autism, their families and carers are satisfied with local services.		In this box enter your overall rating for this area	down. In co	Instructions: 4 select Red/Amber/Green from drop- lumns 2, 3 and 5, click on cell and enter Maximum length 1000 characters.
(1)	(2)	(3)	(4)	(5)
Key Outcomes. Assessing progress in your local area	Good things happening State evidence	Where do things need to get better? What are the gaps?	How do we score?	One thing we want to be better in 12 months (Key priority)
Do you routinely conduct satisfaction surveys which you feed into your commissioning plans?	directly relating to autism. We do carry out a statutory annual satisfaction survey with all service user groups	views		The views of people with autism are collected in various ways Survey the people already known to us as a pilot
	We have proactive transition support groups who regularly feed back the views of parents and carers		Amber	
	Our user led organisation is currently holding consultation events with parents of those coming through transition			

	Quality Outcome 7		Ratings: 3/3 Comments: 7/9		
Adults with autism are involved in service planning.		In this box enter your overall rating for this area	drop-down.	Instructions: a 4 select Red/Amber/Green from In columns 2, 3 and 5, click on cell ter text. Maximum length 1000 characters.	
(1) Key Outcomes. Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	(5) One thing we want to be better in 12 months (Key priority)	
Have you established strategic planning mechanisms or involving people with autism and their carers?	We have established a working group and the next stage of this now is to ensure people with autism and their carers are part of the strategy development	We will be engaging people with autism through stakeholder events, surveys etc. Consideration is given to establishing an autism specific partnership board. The possibility of setting up services in conjunction with Essex and Southend Councils and PCT South cluster	Amber	Ensure a range of views are gathered	
Have you held any consultation events in your area?		More engagements sessions to take place as the strategy develops	Amber		
Are you able to provide advocates to work with adults with autism?	Thurrock Council currently commissions advocacy services through Batias, Voiceability and Thurrock Mind	Currently Thurrock MIND provide a very professional service to those who experience Aspergers and we will be working with other providers to ensure the same level of service	Amber		

Service Ambition 1				8/4 Comments: 8/12
Local authorities and partners know how many adults with autism live in the area.		In this box enter your overall rating for this area	Instructions: In column 4 select Red/Amber/Green from drop-down. In columns 2, 3 and 5, click of cell and enter text. Maximum length 1000 characters.	
(1) Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	(5) One thing we want to be better in 12 months (Key priority)
Does you JSNA mention adults with autism? Have you plans to collect and collate relevant data about adults with autism.	The Thurrock JSNA is currently being refreshed to ensure it reflects the current priorities for Thurrock and is able to drive commissioning and decision-making. It includes reference to autism and provides high-level estimates of the number of people with currently in receipt of services and the numbers predicted to need services in the future	There are plans to improve the functionality in the adult social care client database to better capture information about autism from 2012 onwards. Resource has also been identified to develop a commissioning strategy for people with autism. This will include development of better insight about autism in Thurrock. Better understanding of the extent of autism across adults in Thurrock and a strategy for meeting needs with appropriate services and support.	Amber	To have a more robust figure on the number of people with autism who do and do not use services
Is your LA following the Special Education Needs (SEN) statutory guidance around transition planning in relation to their social services responsibilities for children and young people? Are numbers identified?	Thurrock Council has a well established transition steering group which includes adults and children services, health and voluntary sector as well as representation from people going through transition		Amber	Continue the good and positive work

Is your LA considering allocating responsibility to a named joint	Thurrock Council has a lead from both commissioning and operational services	Improving access for adults with autism so they can access the support they need		
commissioner/senior manager of community care			Green	
services for adults with autism in the area?				

	Service Ambition 2	Ratings: 4/5 Comments:		/5 Comments: 9/15
A clear and trusted diagnostic pathway is available locally.		In this box enter your overall rating for this area	Instructions: In column 4 select Red/Amber/Green from drop-down. In columns 2, 3 and 5, click on cell and enter text. Maximum length 1000 characters.	
				characters.
(1) Assessing progress in your local area	(2) Good things happening State evidence	(3) Where do things need to get better? What are the gaps?	(4) How do we score?	(5) One thing we want to be better in 12 months (Key priority)
Have you a clear pathway developed locally?	There is a pathway in place for the ASC service following the reorganisation of inpatient and community services. However a more robust pathway needs to be developed in Adult Services		Amber	Pathway needs to be developed to include social care
Have you surveyed staff, adults with autism, professionals to monitor its impact?	See above			
Has the local area (L.A. LSP) appointed a lead professional who can map out current services against best practice?	Yes	Commissioner to look at best practice and map against local services	Amber	
Following diagnosis does the pathway include the healthcare professional informing the adult diagnosed that under the NHS Community Care Act 1990 LAs have a duty to carry out an assessment?	Within the transition pathway people are informed of the right to Social Care assessment Asperger's diagnostic service liaise with Social Care		Amber	

Is the LA notified promptly by	Yes	Care pathway to be developed		
the provider/healthcare				
provider (when an adult is			Amber	
diagnosed with autism)?				

	Service Ambition 3		Ratings: 4/4 Comments: 11/12		
	e staff make reasonable les to meet the needs of	In this box enter your overall rating for this area	Instructions: In column 4 select Red/Amber/Green from a down. In columns 2, 3 and 5, click on cell a enter text. Maximum length 1000 characte		
(1) Assessing progress in your	(2)	(3) Where do things need to	(4) How do we	(5) One thing we want to be	
local area	Good things happening State evidence	get better? What are the gaps?	score?	better in 12 months (Key priority)	
Are staff who carry out assessments receiving training on how to make adjustment in their behaviour and communication?	There is on-line training available for all staff across the Council, Private and voluntary sector within Thurrock. We will audit this to see effectiveness and take up. We are currently undertaking a staff survey to identify training gaps	There needs to be a clear training plan in place which identifies training needs across a range of staff.	Amber	A clear training programme in place	
Have you considered what autism awareness training is made available to all staff working in health and social care and how training can be prioritised?	Staff training needs are identified each year.	Once results from staff survey are known we will develop an appropriate training –plan.	Amber	To ensure that training programmes are available to all relevant stakeholders	
Have you plans to survey staff, service users and carers on different aspects of the service?	We will be engaging service users and carers throughout the development of the strategy and action plans.	We plan to hold a stakeholder event in March 2012. A staff survey has been developed and will be completed in Spring	Amber		
Do you include autism within key procedures?	Specific reference to autism within some key procedures such as Equality and Diversity	Autism included increasingly in key policies and procedures within Thurrock,	Amber	Agreement on the particular policies and proceudres which should have specific reference to autism	

Are there any of the ten outcomes where you feel you are performing well?

Transition planning in line with Special Educationa Needs statutory guidance. We have a well established transition service with positive joint working between Children's and Adults Services.

Are there any areas of good practice you could share with other localities?

The transition steering group is inclusive of young people and carers and is a very positive model of joint working

E0600034

Date	Version	Change	
03/01/2012	5_03	Included LA codes for 8 LAs omitted in v5_02:	Barnsley

Consequential alteration to range in Local Authority data validation range and data lookup table ranges in Results page.

Bradford Calderdale Doncaster Kirklees Leeds Rotherham Sheffield Wakefield